On Partial Least Squares in Head Pose Estimation: How to simultaneously deal with misalignment

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	Face Detection Reactive Tracking Head Pose Estimation	Partial Least Squares Estimation Results Misalignment	
Introduction			



Image Source: E. Murphy-Chutorian and M.M. Trivedi. "Head pose estimation in computer vision: A survey". 2009.

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Introduction

Best performance in head pose estimation is obtained by non-linear regression methods.



Partial Least Squares, a regression technique, has been gaining much interest in computer vision lately.

Image Source: E. Murphy-Chutorian and M.M. Trivedi. "Head pose estimation in computer vision: A survey".

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troduction		

- In prior work, there is no study of the effect misalignment
- Propose head pose estimation method based on partial least squares (PLS) regression
- ... while solving the alignment problem simultaneously.

Partial Least Squares Estimation Results Misalignment

Linear and Kernel PLS

Consider a matrix of independent variables X and a matrix of dependent variables Y, obtained as a response to X. PLS decomposes the matrices as follows:

 $\mathbf{X} = \mathbf{T}\mathbf{P}^{\mathsf{T}} + \mathbf{E}$ $\mathbf{Y} = \mathbf{U}\mathbf{Q}^{\mathsf{T}} + \mathbf{F}$

Solving for ${\bf T}$ and ${\bf U}$ using the NIPALS algorithm, the regression coefficients can be expressed as:

$$\mathbf{B} = \mathbf{X}^{\mathsf{T}} \mathbf{U} (\mathbf{T}^{\mathsf{T}} \mathbf{X} \mathbf{X}^{\mathsf{T}} \mathbf{U})^{-1} \mathbf{T}^{\mathsf{T}} \mathbf{Y}$$

The kernel PLS applies the same decomposition but after a nonlinear transformation of the input vectors.

Partial Least Squares Estimation Results Misalignment

Experimental Setup

We tested the linear PLS and kPLS on two databases: Pointing'04 and CMU Multi-PIE.

X was composed of the HOG features of each face.

 ${\bf Y}$ is composed of the corresponding pose: two dimensional (pitch and yaw) for Pointing'04 while one dimensional (yaw) for CMU Multi-PIE.

Face Detection	Partial Least Squares
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Pointing'04 Results

Method	Yaw Err	Pitch Err	Accuracy (Yaw,Pitch)	Notes
Ours (kernel PLS)	6.56 ⁰	6.61 ⁰	(67.36%, 80.36%)	-
Stiefelhagen	9.5°	9.7°	(52.0%, 66.3%)	1
Ours (linear PLS)	11.29 ⁰	10.52°	(45.57%, 58.70%)	-
Human Performance	11.8°	9.4°	(40.7%, 59.0%)	2
Gourier (Associative Memories)	10.1°	15.9°	(50.0%, 43.9%)	3
Tu (High-order SVD)	12.9°	17.97°	(49.25%, 54.84%)	4
Tu (PCA)	14.11°	14.98°	(55.20%, 57.99%)	4
Tu (LEA)	15.88°	17.44°	(45.16%, 50.61%)	4
Voit	12.3°	12.77°	-	-
Li (PCA)	26.9°	35.1°	_	5
Li (LDA)	25.8°	26.9°	-	5
Li (LPP)	24.7°	22.6°	-	5
Li (Local-PCA)	24.5°	37.6°	-	5
Li (Local-LPP)	29.2°	40.2°	-	5
Li (Local-LDA)	19.1°	30.7°	-	5

Notes:

1) Used 80% of Pointing'04 images for training, 10% for cross-evaluation, and 10% for testing.

2) Human performance with training.

3) Best results over different reported methods.

4) Better results have been obtained with manual localization.

5) Results for 32-dim embedding.

Ref: M. Al Haj, J. Gonzalez, and L.S. Davis. "On partial least squares in head pose estimation: How to simultaneously deal with misalignment". CVPR 2012.

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Partial Least Squares Estimation Results Misalignment

Box-and-Whisker Pointing'04 Results



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Multi-PIE

2700 face images from the CMU Multi-PIE database were manually annotated. These images belong to 144 subjects, under frontal illumination and varying expressions.

	kPLS	linear PLS	PCR
Mean Absolute Error (MAE)	5.31 °	9.11°	11.03°
Accuracy	79.48%	57.22%	48.33%

Partial Least Squares Estimation Results Misalignment

The Alignment Problem

 $\label{eq:model} Misalignment \ is \ a \ problem \ for \ any \ regression/classification \ algorithm.$

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Partial Least Squares Estimation Results Misalignment

The Alignment Problem

Misalignment is a problem for any regression/classification algorithm.

Pose results are reported without studying this effect.

The detection output is not necessarily aligned with trained models.

Face Detection Provide the Provided HTML Reactive Tracking Example the Provided Head Pose Estimation M

Partial Least Squares Estimation Results Misalignment

Misalignment Effect



0% shift

pitch 0° and yaw -30°

Error in Pointing'04 linear PLS: 11.29° Error in Multi-PIE linear PLS: 9.11° Error in Pointing'04 kernel PLS: 6.56° Error in Multi-PIE kernel PLS: 5.31°



0% shift

pitch ? and yaw ?

Partial Least Squares Estimation Results Misalignment

Misalignment Effect









pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect









pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect









pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect





pitch 0° and yaw -30°





pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect









pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect









pitch ? and yaw ?



Partial Least Squares Estimation Results Misalignment

Misalignment Effect













Partial Least Squares Estimation Results Misalignment

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Misalignment Effect













Partial Least Squares Estimation Results Misalignment

Misalignment Effect













Partial Least Squares Estimation Results Misalignment

The Alignment Problem

Proposal:

Consider not only the detected face but also a bag of neighboring windows.

Partial Least Squares Estimation Results Misalignment

The Alignment Problem

Proposal:

Consider not only the detected face but also a bag of neighboring windows.

Given the latent sub-spaces, the instance with the minimum residual is the one with the best response.

Face Detection	Partial Least Squares	
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Residual estimation		

We derived this error as:

$$\begin{array}{ll} \text{linear:} & \mathbf{e} = \mathbf{x} - \mathbf{x} \mathbf{X}^T \mathbf{T} (\mathbf{T}^T \mathbf{X} \mathbf{X}^T \mathbf{T})^{-1} \mathbf{T}^T \mathbf{X}. \\ \text{kernel:} & \mathbf{e} = \mathcal{K}(\mathbf{x}, \mathbf{x}) - \mathcal{K}(\mathbf{x}, \mathbf{X}) \mathbf{T} \mathbf{t}^T - \mathbf{t} \mathbf{T}^T \mathcal{K}^T (\mathbf{x}, \mathbf{X}) + \mathbf{t} \mathbf{T}^T \mathbf{K} \mathbf{T} \mathbf{t}^T. \end{array}$$

To test the accuracy of minimum residual, compare accuracy of well-aligned samples vs. the selected samples in misaligned bags.

Head Pose Estimation	Misalignment
Reactive Tracking	Estimation Results
Face Detection	Partial Least Squar



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Face Detection	Partial Least Squares
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 Face Detection
 Partial Least Squares

 Reactive Tracking
 Estimation

 Head Pose Estimation
 Misalignment





The MAE of applying the regression on the minimum residual sample of each bag is shown below:





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r method vs.	MIL	

- Multiple Instance Learning (MIL) is used to accommodate for misalignment
- On average, our kPLS method outperforms Multi-Instance Multi-Label SVM (MIMLSVM)
- ...despite not having any misaligned sample in the training dataset and being 100x faster.

	Ours (kPLS)	MIMLSVM
MAE Pointing'04 Yaw	7.94°	10.72°
MAE Pointing'04 Pitch	9.35°	12.32°
MAE Multi-PIE Yaw	6.06°	5.40°

Source: Z.-H. Zhou and M.-L. Zhang. "Multi-instance multilabel learning with application to scene classification". NIPS 2007

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