#### A study on the Classification of Layout Components for Newspapers

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12th Italian Research Conference on Digital Libraries - IRCDL 2016 Firenze, Italy, 4-5 February, 2016

### Summary

- Introduction & Motivation
- Layout Analysis of Newspapers
- Proposed Modifications
- Experimental results
- Conclusions & Future Work

#### Introduction

- Legacy newspapers available in printed form
  - Digitization  $\rightarrow$  no explicit organization into meaningful higher-level components
    - Needed for automatically extracting useful information indexing
  - Approaches for automatic layout analysis often ineffective on newspapers
    - Much more complex layout
  - Objective: classification of layout blocks according to their content type.
    - adaptation of an existing approach, working on the description features and set of classes

### Objectives



- Tackling
  - use of colors
  - text blocks written on background different than the main background
  - frequent interleaving of very different text font sizes

#### Document Processing and Management

- Steps
  - Document Image Understanding (layout structure, logical structure)
    - Layout Analysis
      - Segmentation
      - Component Classification
  - Document Understanding
- Layout Analysis fundamental for the quality and feasibility of Document Understanding

#### Layout Analysis Procedure in DoMInUS

- pre-processing:
  - binarization
  - chromatic component separation  $\rightarrow$  peculiarity #1
  - skew correction
- classification of layout components in each color layer
  - text
  - lines
  - **non-standard background**  $\rightarrow$  peculiarity #2
  - images
- text blocks identification
  - removal of non-textual components
  - extraction of text from non-standard background
  - *text blocks aggregation* using RLSO  $\rightarrow$  peculiarity #3

**Bold**: steps specifically introduced for dealing with newspapers *Italics*: steps already present but changed for dealing with newspapers

#### Layout Analysis Procedure in DoMInUS

- 1.b: artificially colored parts of the page (ignore saturation)
  - Sequence of filtered versions of the page: background (white); graylevel; other colors
  - Reversed background layer = color-independent binarization of the page
- 2.c: reverse all 'Image' connected components in each layer; run again the classifier: is the inverted block classified as Text?
- 3.a: remove all non-text components in the various color layers
- 3.b turn the original non-standard background into standard background; represent the text as standard foreground
  - Binarized image: only textual components on standard background
- 3.c: obtain aggregate text blocks using RLSO (non-Manhattan layout), *but*: applied as a last step; applied on a filtered image containing only text; applied iteratively

#### **Partial Processing Steps**





#### Component Type Classification Features

- block height (h)
- block width (w)
- block area ( $a = w \times h$ )
- block eccentricity (w/h)
- number of black pixels in the block (*b*)
- number of black-white transitions in the block rows (*t*)
- percentage of black pixels in the block (*b*/*a*)
- average number of black pixels per black-white transition (*b*/*t*)
- short run emphasis (*F1*): blocks containing many short runs
  - small-sized characters (e.g., newspaper articles)
- long run emphasis (F2): blocks containing many runs having medium length
  - quite large characters (e.g., newspaper subtitles)
- extra long run emphasis (F3): blocks containing few runs, all of which very long
  - text of very large size (e.g., main titles of newspaper pages).
    - Requires two parameters, *T1* and *T2*

#### Component Type Classification Classes

- **Text**: a group of alphanumeric characters or symbols
  - even just one character or symbol
- Horizontal Line
- Vertical Line
- Graphic: an artificial image
  - (e.g., produced using vector graphics tools)
- Image: a (possibly halftone) raster image
- **Mixed**: a combination of text and image(s), but clearly disjoint (text within images would fall in the Image class)
- Undefined: none of the above
  - A portion of an image, a particularly eroded line, ...

#### Component Type Classification Additional Features

- Spread:  $s = n/b \times min(w,h)^2$ 
  - spatial distribution of black pixels in a pattern
    - b = # black pixels (raising the density reduces the distance among pixels),
    - n = # black runs (the more the runs, the more fragmented the black zones),
    - Area of square sections:
      - $a \times sq = w \times h \times min(w,h) / max(w,h) = min(w,h)^2$
- # components
  - blocks having large area and many components ~ text
  - blocks having small area and 1 component ~ character
- # black-white transitions in the block columns
  - complementary perspective with respect to feature #6

$$-$$
 F3 (T<sub>1</sub> = 30, T<sub>2</sub> = 5)

$$-$$
 F3 (T<sub>1</sub> = 5, T<sub>2</sub> = 5)

#### Component Type Classification Additional Classes

- splitting the class Text
  - Text
  - Character
  - Reverse Text
  - Reverse Character

#### Experiments Baseline

- Dataset
  - 30 images of newspapers' first pages
    - some in color, some in black and white
  - 789 connected components
    - No graphic or diagonal line
      - However, these classes are meaningful

- Learning setting
  - 10-fold crossvalidation
  - Decision tree learner J48 (WEKA)
  - Worst accuracy: Mixed
    - Very subtle (and mostly semantic) differences compared to Image, especially when they include text
    - Some newspapers superimpose text to images

#### Baseline experimental results for component type classification

Class	TP rate	FP rate	Precision	Recall	F-measure	Instances
Text	0.757	0.172	0.748	0.757	0.752	317
Horizontal line	0.916	0.013	0.906	0.916	0.911	95
Vertical line	0.857	0.004	0.923	0.857	0.889	42
Image	0.655	0.112	0.607	0.655	0.63	165
Mixed	0.368	0.04	0.42	0.368	0.393	57
Undefined	0.646	0.047	0.695	0.646	0.67	113
Overall	0.716	0.104	0.715	0.716	0.715	789

- Last row = weighted average for performance columns, total for the number of components
- Layout Analysis performance on 45 additional newspapers:

Precision	Recall	F-measure	Accuracy
0.885	0.909	0.897	0.784

#### Experiments

- New dataset made up of 10 newspapers
  - Previous dataset unavailable
- Always used the extended set of features

-  $F3(T_1 = 30, T_2 = 5)$  never considered

- Different set of classes
  - same classes as the baseline
  - separate class for reversed text only
  - specific classes for text/characters, normal/reversed
- All settings much better than the baseline
  - Some better on some classes, some better on others

## Experimental results with additional features and classes

Class	TP rate	FP rate	Precision	n Recall	F-measure	Instances
Text	0.875	0.103	0.848	0.875	0.861	376
Horizontal line	0.958	0.004	0.968	0.958	0.963	96
Vertical line	0.974	0.001	0.974	0.974	0.974	39
Image	0.845	0.056	0.801	0.845	0.822	200
Mixed	0.238	0.014	0.278	0.238	0.256	21
Undefined	0.741	0.033	0.748	0.741	0.744	112
Reverse Text	0.432	0.022	0.487	0.432	0.458	44
Character	0.680	0.011	0.773	0.680	0.723	50
Reverse Characte	r 0.143	0.002	0.333	0.143	0.200	7
Overall	0.812	0.059	0.804	0.812	0.807	945
Class	TP rate F	P rate I	Precision	Recall F	-measure I	nstances
Text	0.862	0.130	0.844	0.862	0.852	426
Horizontal line	0.958	0.004	0.968	0.958	0.963	96
Vertical line	0.949	0.002	0.949	0.949	0.949	39
Image	0.850	0.066	0.776	0.850	0.811	200
Mixed	0.238	0.011	0.333	0.238	0.278	21
Undefined	0.714	0.024	0.800	0.714	0.755	112
Reverse Text	0.333	0.031	0.387	0.333	0.354	51
Overall	0.810	0.078	0.802	0.810	0.805	945

# Experimental results with additional features only

- Overall weighted averaged F-measure significantly better than the other settings
  - Real improvement due to the extension to the set of features

Class	TP rate	FP rate	Precision	Recall	F-measure	Instances
Text	0.876	0.121	0.880	0.876	0.878	477
Horizontal line	0.948	0.004	0.968	0.948	0.958	96
Vertical line	0.974	0.006	0.884	0.974	0.927	39
Image	0.830	0.051	0.814	0.830	0.822	200
Mixed	0.286	0.015	0.300	0.286	0.293	21
Undefined	0.768	0.031	0.768	0.768	0.768	112
Overall	0.849	0.076	0.846	0.849	0.848	945

#### Conclusions

- Adaptation of existing approach to block type classification of digitized newspapers
  - colors, text on non-standard background, frequent interleaving of very different font sizes
  - Implemented and embedded in DoMInUS
  - Experimental results showed that using additional features may be beneficial
- Future work
  - Larger dataset
  - Effect on the final layout analysis performance